Seismic Motions and Energy Dissipation

ESSI Modeling and Simulations

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Modeling and Simulation of Earthquakes, and Soils, and Structures and their Interaction using Real ESSI Simulator System

Boris Jeremić

University of California, Davis, CA Lawrence Berkeley National Laboratory, Berkeley, CA

> ARUP, San Francisco, California February 2018



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Motivation

Motivation

Improve modeling and simulation for infrastructure objects

Use of high fidelity numerical models to analyze behavior of soil structure systems

Reduction of modeling uncertainty, ability to perform high(er) level of sophistication modeling and simulation

Accurately follow the flow of input and dissipation of energy in a soil structure system

Development of an expert, rational physics based, system for modeling and simulation



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Hypothesis

- Interplay dynamic characteristics of the Dynamic Forcing / Earthquake, Soil/Rock and Structure in time domain, plays a decisive role in successes and failures
- Timing and spatial location of energy dissipation determines location and amount of damage
- If timing and spatial location of the energy dissipation can be controlled (directed), we could optimize soil structure system for
 - Safety and
 - Economy



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Predictive Capabilities

- Prediction under Uncertainty: use of computational model to predict the state of SSI system under conditions for which the computational model has not been validated.
- Verification provides evidence that the model is solved correctly. Mathematics issue.
- Validation provides evidence that the correct model is solved. Physics issue.
- Modeling and parametric uncertainties are always present, need to be addressed
- Predictive capabilities with low Kolmogorov Complexity
- ► Goal: Predict and Inform and not (force) Fit



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Modeling Uncertainty

- Simplified modeling: Features (important ?) are neglected (6D ground motions, inelasticity)
- Modeling Uncertainty: unrealistic and unnecessary modeling simplifications
- Modeling simplifications are justifiable if one or two level higher sophistication model shows that features being simplified out are not important



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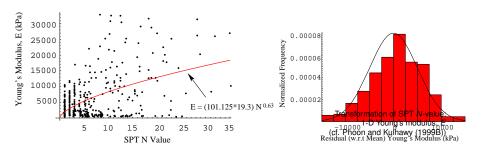
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Parametric Uncertainty: Material Stiffness





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Realistic ESSI Modeling Uncertainties

- Seismic Motions: 6D, inclined, body and surface waves (translations, rotations); Incoherency
- Inelastic material: soil, rock, concrete, steel; Contacts, foundation—soil, dry, saturated slip—gap; Nonlinear buoyant forces; Isolators, Dissipators
- Uncertain loading and material



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Real ESSI Simulator System

Real ESSI Simulator System

The Real ESSI, (**<u>Real</u>**istic modeling and simulation of <u>E</u>arthquakes, and <u>S</u>oils, and <u>S</u>tructures and their <u>I</u>nteraction) Simulator is a software, hardware and documentation system for high fidelity, high performance, time domain, nonlinear/inelastic, deterministic or probabilistic, 3D, finite element modeling and simulation of:

- statics and dynamics of soil,
- statics and dynamics of rock,
- statics and dynamics of structures,
- statics of soil-structure systems, and
- dynamics of earthquake-soil-structure system interaction



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Real ESSI Simulator System

Real ESSI Simulator System

- Real ESSI System Components
 - Pre-processor (gmsh/gmESSI, FEMAP2ESSI, ESSI2FEMAP)
 - Simulator (local, remote/cloud)
 - Post-Processor (Paraview, Python, Matlab)
- Real ESSI System availability:
 - Professional Practice and Educational Institutions: Amazon Web Services (AWS, economical!)
 - Government Agencies, National Labs and some Companies: Local/Remote
- Real ESSI Education and Training
- System description and documentation at http://real-essi.us/

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Quality Assurance

- ► Full verification suit for each element, model, algorithm
- Validation available, however still looking for high quality test data
- Certification in progress for NQA-1 and ISO-90003-2014



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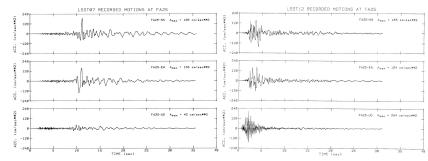
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Observations and Regional Models

3D (6D) Seismic Motions

- All (most) measured motions are full 3D (6D)
- ► One example of an almost 2D motion (LSST07, LSST12)



▶ 1D (?): M 6.9 San Pablo, Guatemala EQ, 14Jun2017



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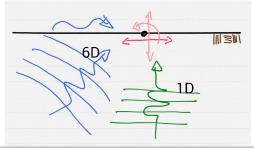
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Observations and Regional Models

Nuclear Power Plants: 6D or 1D Seismic Motions

- Assume that a full 6D (3D) motions at the surface are only recorded in one horizontal direction
- From such recorded motions one can develop a vertically propagating shear wave in 1D
- Apply such vertically propagating shear wave to the same soil-structure system





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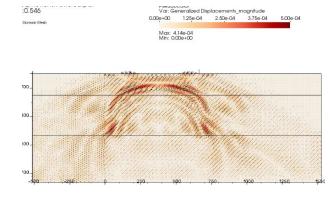
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Realistic Ground Motions

Free field seismic motion models





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Real ESSI Simulator

(MP4)



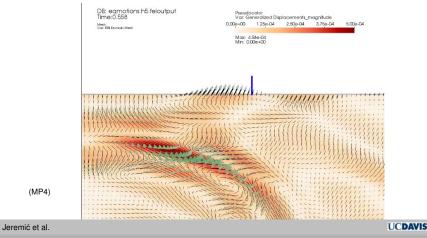
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Development of Realistic Motions

Sources will send both P and S waves



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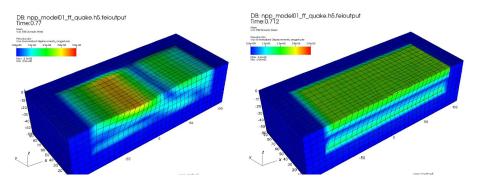
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Realistic Earthquake Motions, 6D vs 1D

One component of motions in 1D from 3D, excellent fit



(MP4) (MP4)

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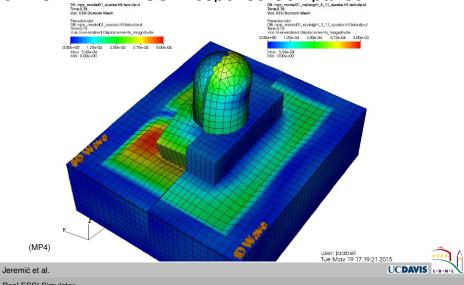
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6D vs 1D NPP ESSI Response Comparison



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1D vs 3×1D vs 3D Seismic Motions

- 1D is required by the code
- ► 3×1D can be used depending on frequency/wave length of interest,
- 3D is more realistic, however it is challenging to define motions in full 3D



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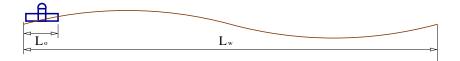
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When to use 3D and/or $3 \times 1D$









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Energy Dissipation

Energy Input and Dissipation

Energy input, dynamic forcing

Mechanical dissipation outside SSI domain:

SSI system oscillation radiation Reflected wave radiation

Mechanical dissipation/conversion inside SSI domain:

Inelasticity of soil and contact zone Inelasticity/damage of structure and foundation Viscous coupling of porous solid and pore fluids (soil) Viscous coupling of structures with fluids

Numerical energy dissipation/production



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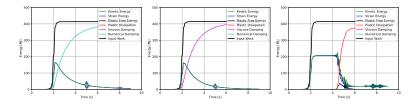
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Energy Dissipation

Energy Dissipation Control Mechanisms



Numerical

Viscous

Plasticity



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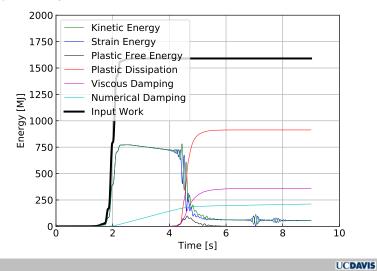
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Energy Dissipation Control



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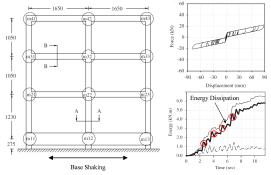
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Energy Dissipation

Incremental Plastic Work: $dW_p = \sigma_{ij} d\epsilon_{ij}^{pl}$

- Negative incremental energy dissipation
- Plastic work is NOT plastic dissipation



From a paper on Soil Dynamics and Earthquake Engineering (2011)

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Energy Dissipation

Negative Incremental Energy Dissipation!

Direct violation of the second law of thermodynamics

600 papers since 1990 (!?!) repeat this error

Important form of energy missing: Plastic Free Energy

First described by Taylor and Quinney in 1925 and then 1934!

Plastic Work vs. Plastic Energy Dissipation



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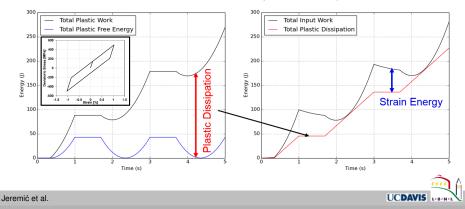
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Energy Dissipation on Material Level

Single elastic-plastic element under cyclic shear loading

Difference between plastic work and dissipation Plastic work can decrease, dissipation always increases



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Modeling Sophistication Levels, Phased Modeling

- Level of sophistication chosen to reduce modeling uncertainty
- ► Verify code, solutions, methods, elements, material models
- Verify model components
- Model developed in phases (components) and verified
- Gradually building confidence in inelastic modeling
- Use such developed models to predict and inform, rather than force fit



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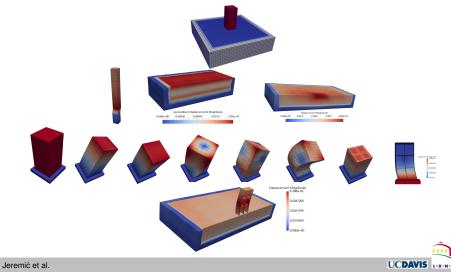
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Model Verification and Modeling Phases



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Inelastic Modeling for NPP and Components

- ► Soil elastic-plastic
 - Dry, single phase
 - Unsaturated (partially saturated)
 - Fully saturated
- Contact, inelastic, soil/rock foundation
 - Dry, single phase, Normal (hard and soft, gap open/close), Friction (nonlinear)
 - Fully saturated, suction and excess pressure (buoyant force)
- Structural inelasticity/damage
 - Nonlinear/inelastic 1D fiber beam
 - Nonlinear/inelastic 2D wall element



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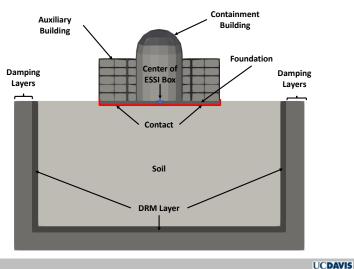
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NPP Model



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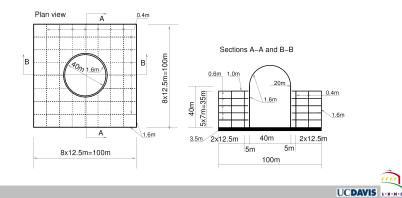
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Structure Model

The nuclear power plant structure comprise of

- Auxiliary building, $f_1^{aux} = 8Hz$
- Containment/Shield building, $f_1^{cont} = 4Hz$
- ► Concrete raft foundation: 3.5m thick



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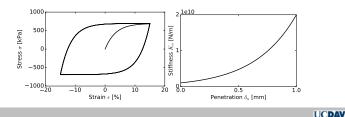
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Inelastic Soil and Inelastic Contact

- Shear velocity of soil $V_s = 500 m/s$
- ► Undrained shear strength (Dickenson 1994) $V_s[m/s] = 23(S_u[kPa])^{0.475}$
- ► For $V_s = 500 m/s$ Undrained Strength $S_u = 650 kPa$ and Young's Modulus of E = 1.3 GPa
- ► von Mises, Armstrong Frederick kinematic hardening $(S_u = 650 kPa \text{ at } \gamma = 0.01\%; h_a = 30 MPa, c_r = 25)$
- Soft contact (concrete-soil), gaping and nonlinear shear



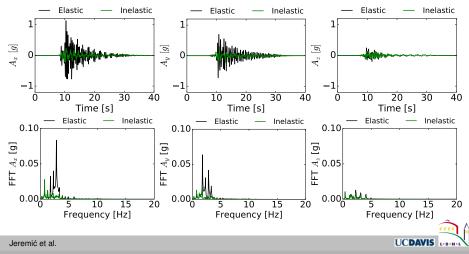
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Acc. Response, Top of Containment Building



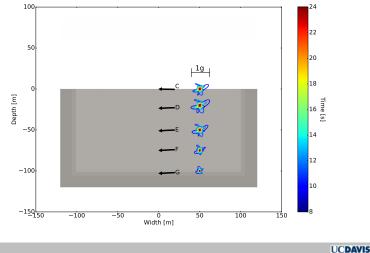
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Acceleration Traces, Free Field



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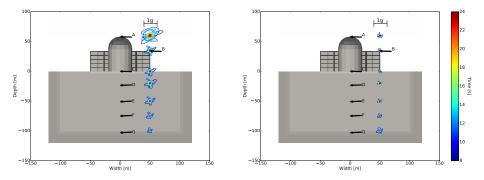
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Acceleration Traces, Elastic vs Inelastic



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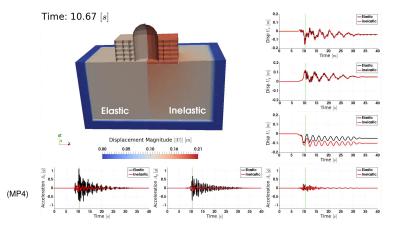
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Elastic and Inelastic Response: Differences



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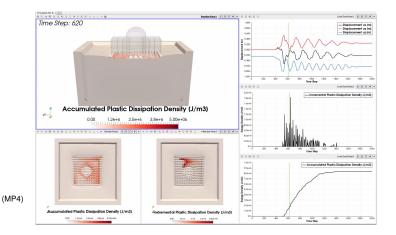
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Energy Dissipation in a Large-Scale Model (NPP)



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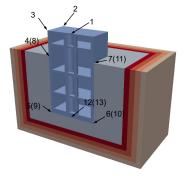
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Small Modular Reactor



		ocation	of poin	
Point ID	X (m)	Y (m)	Z (m)	layer
1	0	0	14	structure
2	15	15	14	structure
3	0	15	14	structure
4	0	15	0	structure
5	0	15	-36	structure
6	0	-15	-36	structure
7	0	-15	0	structure
8	0	15	0	surrounding soil
9	0	15	-36	surrounding soil
10	0	-15	-36	surrounding soil
11	0	-15	0	surrounding soil
12	0	0	-36	structure
13	0	0	-36	surrounding soil



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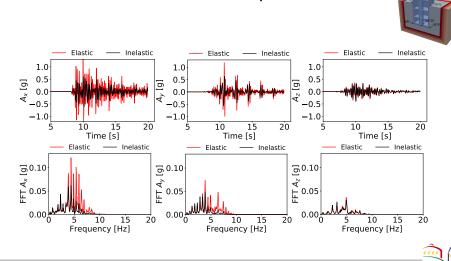
SMR: Inelastic ESSI Effects, Top Center

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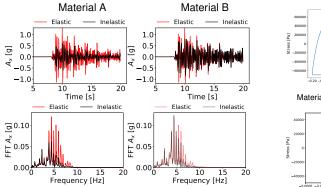
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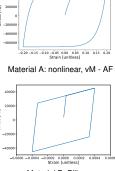
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SMR: ESSI Effects, Material Modeling





Material B: Bilinear



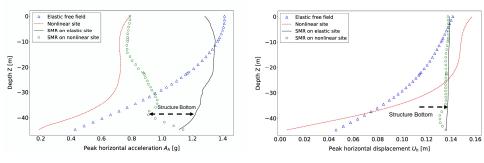
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Depth variation - PGA & PGD



- ► The PGA & PGD of SSI systems are (very) different from free field motions,
- Material nonlinearity has significant effect on acceleration response.



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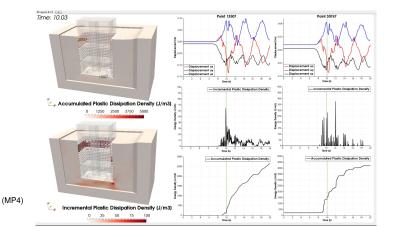
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Energy Dissipation for an SMR



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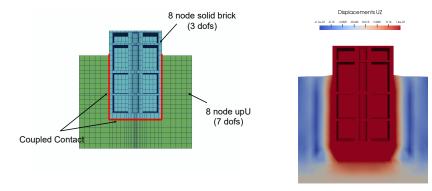
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Buoyant Force Simulation





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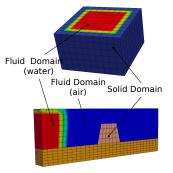
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Solid/Structure-Fluid Interaction: gmFoam

Mesh separation integrated geometry model FEM & FVM mesh conversion handle discontinuous mesh Incorporate gmESSI Interface geometry extraction Interface class **SSFI** in RealESSI RealESSI ↔ SSFI ↔ OpenFoam





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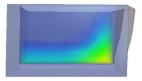
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Solid/Structure-Fluid Interaction, Example

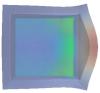


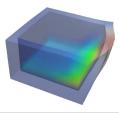
Generalized_Displacements Magnitude

0.000+00 0.0039 0.0078 0.012 1.551+02

(MP4)

alpha.water -4.206e-07 0.25 0.5 0.75 1.000e+00







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Saturated Soil and Liquefaction

- For fully and partially saturated layers of loose to medium sand, with fines, silt, and with in-between layers of low permeability clay, liquefaction is likely
- Liquefaction: uniform and differential settlements!
- Liquefaction: base isolate objects
- Piles in liquefied soil, pile pinning effects



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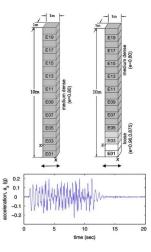
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Liquefaction as Base Isolation, Model





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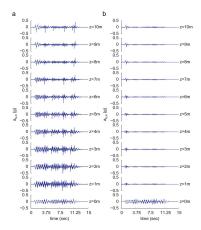
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Liquefaction, Wave Propagation





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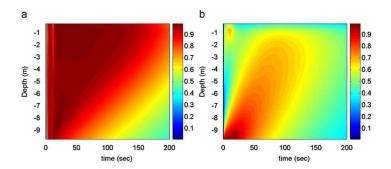
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Liquefaction, Excess Pore Pressure Ratio





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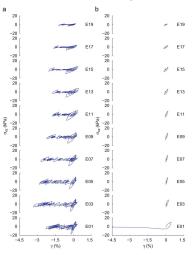
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Liquefaction, Stress-Strain Response





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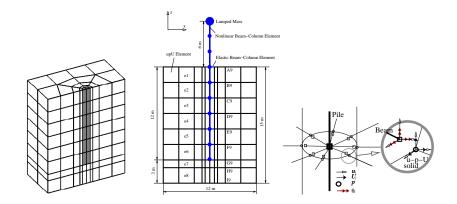
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Pile in Liquefiable Soil, Model





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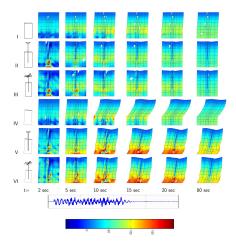
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Pile in Liquefiable Soil, Results





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Summary

Summary

- ► Numerical modeling to predict and inform, rather than fit
- Sophisticated inelastic/nonlinear modeling and simulations need to be done carefully and in phases
- Education and Training is the key!
- http://real-essi.us/
- Collaborators: Feng, Lacour, Han, Behbehani, Sinha, Wang, Pisanó, Abell, McCallen, McKenna, Petrone
- Funding from and collaboration with the US-DOE, US-NRC, US-NSF, CNSC-CCSN, UN-IAEA, and Shimizu Corp. is greatly appreciated,



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